



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/473,300 12/28/99 HONBO

020457 0242/1208
ANTONELLI TERRY STOUT AND KRAUS
SUITE 1800
1300 NORTH SEVENTEENTH STREET
ARLINGTON VA 22209



DATE MAILED:

503.34465VC3
503.34465vc3

Declaration
1745
418100
WLS 02/08/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Begina Fields

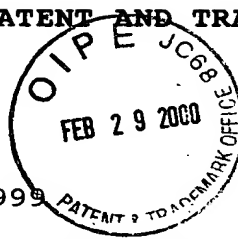
Customer Service Center

Initial Patent Examination Division (703) 308-1202

09473300 012135 0000020 00000000 03/03/2000 HWD/ENH/ 01 FC:105 130.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HONBO, et al.
Serial No.: 09/473,300
Filed: December 28, 1999
For: NON-AQUEOUS SECONDARY BATTERY
Group: 1745
Examiner: J. Maples



#4

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

February 29, 2000

Sir:

The Notice to File Missing Parts of Application mailed February 8, 2000, in connection with the above-identified application (a copy of which Notice is herein enclosed) is noted. In this Notice, it is indicated that the oath or declaration is missing.

However, enclosed please find a copy of the Postcard Receipt in connection with the above-identified application, acknowledging, inter alia, receipt of a copy of the executed declaration of prior application Serial No. 08/630,501. Please note that on the enclosed copy of the Postcard Receipt, the copy of the executed declaration is highlighted.

In view of the foregoing, it is respectfully submitted that the Notice is incorrect, in indicating that the oath or declaration was missing from the application papers as filed. Accordingly, reconsideration and withdrawal of the Notice, in due course, is respectfully requested.

In any event, in order to provide the U.S. Patent and Trademark Office with a copy of the executed Declaration,

enclosed please find a further copy of the executed Declaration as filed in prior application Serial No. 08/630,501, filed April 10, 1996. It is noted that the executed Declaration was submitted on June 28, 1996, in prior application Serial No. 08/630,501.

Emphasizing that the U.S. Patent and Trademark Office acknowledges previous receipt of a copy of the executed Declaration in the present application, it is respectfully submitted that the presently enclosed copy of the executed Declaration is being provided to complete records of the present application at the U.S. Patent and Trademark Office, and no fee is necessary in connection with the present submission of the executed Declaration.

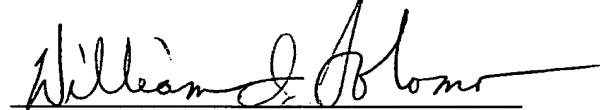
In view of all of the foregoing, reconsideration and withdrawal of the Notice mailed February 8, 2000, and examination of the above-identified application on the merits in due course, are respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit

Account No. 01-2135 (Case No. 503.34465VC3) and please credit
any excess fees to such Deposit Account.

Respectfully submitted,

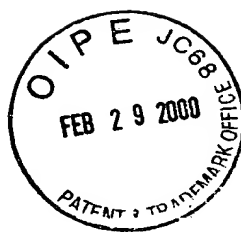
ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read "William I. Solomon", written over a horizontal line.

William I. Solomon
Registration No. 28,565

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WIS/slk
Enclosures



Patent ☒

Trademark ☐

503.34465VC3

Serial No. _____ Filed December 28, 1999

Applicant(s) HONBO, et al.

Papers filed herewith on December 28, 1999

☒ Fees \$ 1686.00

☐ Assignment

☐ New Application

☐ Letter to Draftsman

☒ Amendment Preliminary

☐ Priority Documents

☐ Notice of Appeal

☐ Petition for Ext. of Time

☐ Appeal Brief

☒ 5 Sheets of Formal Drawings

☒ Other Divisional application of SN 630,501, incld. spec., claims, abstract (38 total pages) copy of executed declaration; utility patent application transmittal (2); claim for priority IDS and PTO-1449 Form

Receipt is hereby acknowledged of the papers filed as indicated in connection with above identified case.

COMMISSIONER OF PATENTS AND TRADEMARKS

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